

## Risks with Controls and Actions v2 (24)

Ref	Risk Detail	Risk Owner	Impact	I n h e r e n t			Score	Impact	R e s i d u a l		
				Likelihood	Risk	Score			Likelihood	Risk	Score
<b>6.0</b>	<b>Partnerships</b>										<b>Number of Risks: 32</b>
0336	South West Audit Partnership - failure as host authority to provide adequate support <i>SSDC is the host authority for the South West Audit Partnership with the exception of ICT services. Need to ensure advice is timely and accurate.</i>	Donna Parham	Major	Possible	High	19	Major	Unlikely	High	18	
	<b>Controls</b> _C01 Qualified Accountant to provide financial information and support				<b>Owner</b> Jayne Beevor						
	<b>Actions</b> _A01 Review that advice is timely and accurate annually once statements are audited each year				<b>Action Owner</b> Donna Parham	<b>Due Date</b> 31/12/2009	<b>Revised Date</b>	<b>Status</b> Action Agreed			
0337	Providing Audit Services if South West Audit Partnership folds <i>Partnership stands currently for further year. The risk is that the partnership ceases to exist within this time or no provision is made next year.</i>	Donna Parham	Major	Unlikely	High	18	Minor	Remote	Very Low	2	
	<b>Controls</b> _C01 Meeting in June to look at options after the initial five year contract _C02 A Management Board and Members Board _C03 Monitor Continuation of Partnership				<b>Owner</b> Donna Parham Donna Parham Donna Parham						
	<b>Actions</b> _A01 Report for next 5 years and business plan to SWAP joint committee in June 2009				<b>Action Owner</b> Donna Parham	<b>Due Date</b> 30/06/2009	<b>Revised Date</b> 30/10/2009	<b>Status</b> Action in Progress			
0356	Yeovil Vision - Purpose and desired outcomes not achieved <i>Purpose: To deliver the Yeovil Vision (SSDC, Somerset CC, Yeovil TC, SWRDA). A delivery vehicle has been established with funding from SSDC, SCC and Yeovil Town Council. Bids currently in to funding partners for bids to extend the delivery vehicle for a further two years (i.e. 2009/10 &amp; 2010/11). An exit strategy is also being drawn up should bids not be successful in whole or in part.</i>	Rina Singh	Major	Probable	High	20	Moderate	Possible	Medium	14	
	<b>Actions</b> _A01 Review project delivery plans				<b>Action Owner</b>	<b>Due Date</b> 30/04/2009	<b>Revised Date</b>	<b>Status</b> Draft Issued			
0357	South Somerset Area Action Panels - Purpose and desired outcomes not achieved <i>Purpose: Tactics, Delivery, Communication (Statutory Organizations, Public and Private Organizations, Voluntary Sector, Elected Members).</i>	Martin Woods	Minor	Unlikely	Low	8	Insignificant	Remote	Very Low	1	
	<b>Actions</b> _A01 Review action plans				<b>Action Owner</b> Martin Woods	<b>Due Date</b> 30/04/2009	<b>Revised Date</b> 27/01/2009	<b>Status</b> Action Completed			

Ref	Risk Detail	Risk Owner	Impact	I n h e r e n t			R e s i d u a l			
				Likelihood	Risk	Score	Impact	Likelihood	Risk	Score
0358	Health & Well-being Partnership - Purpose and desired outcomes not achieved <i>Purpose: Policy and strategy formation and administration of healthy living pooled fund (SSDC, PCT, SCC Healthy Living Centers and other interested bodies)</i>	Vega Sturgess	Major	Possible	High	19	Moderate	Probable	Medium	15
	<b>Actions</b> _A01 Review outcomes and outputs				<b>Action Owner</b>	<b>Due Date</b> 01/01/2012	<b>Revised Date</b>	<b>Status</b> Draft Issued		
0359	South West Audit Partnership - Purpose and desired outcomes not achieved <i>Provides an in house auditing function (SSDC, TDBC, MDC, WSDC, SCC)</i>	Donna Parham	Moderate	Unlikely	Medium	13	Minor	Unlikely	Low	8
	<b>Controls</b>				<b>Owner</b>					
	<b>Actions</b> _A01 Review outcomes and outputs				<b>Action Owner</b>	<b>Due Date</b>	<b>Revised Date</b>	<b>Status</b> Draft Issued		
0360	CDRP Mendip and South Somerset Community Safety Partnership - Purpose and desired outcomes not achieved <i>Purpose: Policy, strategy, delivery and communication as set out in the Terms of Reference (Local authorities, Police and other statutory agencies, Voluntary sector &amp; Elected members).</i>	Martin Woods	Moderate	Possible	Medium	14	Moderate	Possible	Medium	0
	<b>Controls</b> 1 Regular review of partnership targets				<b>Owner</b> Martin Woods/Steve Brewer					
	<b>Actions</b> _A01 Review strategy and delivery plans				<b>Action Owner</b> Martin Woods	<b>Due Date</b> 31/12/2008	<b>Revised Date</b> 31/12/2009	<b>Status</b> Action Completed		
0361	Somerset Waste Partnership - Purpose and outcomes not achieved <i>Purpose: To provide a combined waste collection and disposal function (SCC, SSDC, TDBC, MDC, WSDC, SDC).</i>	Laurence Willis	Major	Possible	High	19	Major	Unlikely	High	18
	<b>Controls</b> Governance arrangements in place via IAA and constitution				<b>Owner</b> MD SWP					
	<b>Actions</b> REport to DX on garden waste delivered district wide _A01 Review outcomes and outputs 02 SWP business plan brought to DX each year for information a 03 Involve Scrutiny in discussions about Sort it Plus				<b>Action Owner</b> Vega Sturgess SWB members and Corporate Director, Environment Vega Sturgess Vega Sturgess (for MD SWP)	<b>Due Date</b> 31/08/2009 31/10/2008 31/03/2009 28/11/2008	<b>Revised Date</b>  31/10/2009 31/03/2010	<b>Status</b> Draft Issued Action in Progress Draft Issued Action in Progress		

Ref	Risk Detail	Risk Owner	Impact	I n h e r e n t			R e s i d u a l			
				Likelihood	Risk	Score	Impact	Likelihood	Risk	Score
0362	South Somerset Together (LSP) - Vision to create more sustainable communities in South Somerset not achieved  <i>The Local Strategic Partnership for the district, led and coordinated by SSDC, who is responsible for delivering the Sustainable Community Strategy.</i>	<b>Helen Rutter</b>	Moderate	Possible	<b>Medium</b>	14	Moderate	Possible	<b>Medium</b>	0
	<b>Controls</b> _CO1 Sustainable Community Strategy performance management system				<b>Owner</b> Saveria Moss					
	<b>Actions</b> _A01 Review outcomes and outputs				<b>Action Owner</b>	<b>Due Date</b>	<b>Revised Date</b>	<b>Status</b> Draft Issued		
0363	Somerset Strategic Partnership - Purpose and outcomes not achieved  <i>Purpose: To develop and deliver a vision for the future of Somerset (SCC, SSDC, TDBC, MDC, WSDC, SDC, PCT, Somerset Police, Voluntary Organizations).</i>	<b>Martin Woods</b>	Moderate	Possible	<b>Medium</b>	14	Moderate	Possible	<b>Medium</b>	14
	<b>Actions</b> _A01 Review outcomes and outputs				<b>Action Owner</b>	<b>Due Date</b>	<b>Revised Date</b>	<b>Status</b> Draft Issued		
0364	South Somerset Consultative Forum - Purpose and outcomes not achieved				<b>Risk Deleted</b>	0			<b>Risk Deleted</b>	0
	<b>Actions</b> _A01 Review outcomes and outputs				<b>Action Owner</b>	<b>Due Date</b>	<b>Revised Date</b>	<b>Status</b> Draft Issued		
0366	South Somerset Homes - Purpose and outcomes are not achieved  <i>Purpose: to safeguard the 'golden promises' made in 1999 at the time of the stock transfer. All of these have either been achieved or are time expired.</i>	<b>Colin McDonald</b>	Major	Possible	<b>High</b>	19	Moderate	Possible	<b>Medium</b>	14
	<b>Controls</b> South Somerset Homes protocol				<b>Owner</b> Colin McDonald					
	<b>Actions</b> _A01 Review outcomes and outputs				<b>Action Owner</b> Colin McDonald	<b>Due Date</b> 02/10/2009	<b>Revised Date</b>	<b>Status</b> Action in Progress		
0367	Home Aid Partnership - Purpose and outcomes are not achieved  <i>Purpose: Operational (SSDC &amp; TDBC, SCC, Supporting people)</i>	<b>Head of Environmental Health &amp; Community Protection</b>	Moderate	Unlikely	<b>Medium</b>	13	Minor	Unlikely	<b>Low</b>	8
	<b>Controls</b> CO1 Review monthly performance on Femis monitoring system				<b>Owner</b> Alasdair Bell	<b>Effectiveness</b> Not Yet Entered				

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				Likelihood	Risk	Score	Impact	Likelihood	Risk	Score
	<b>Actions</b>									
	_A01 Complete quality action framework as per Foundations criteria				<b>Action Owner</b> Alasdair Bell	<b>Due Date</b>	<b>Revised Date</b>	<b>Status</b> Action Completed		
	_A02 Review outcomes and outputs				Alasdair Bell	31/10/2009		Action Agreed		
0368	Somerset Supporting People Partnership - purpose and outcomes not achieved  <i>Purpose: Coordination of Supporting People across Somerset (Statutory arrangement) (SSDC, WSDC, TDBC, Mendip DC, Sedgmoor DC, Somerset County Council, Avon &amp; Somerset Probation, Somerset Partnership (Mental Health Trust) Somerset PCT)</i>	<b>Colin McDonald</b>	Major	Possible	<b>High</b>	19	Moderate	Possible	<b>Medium</b>	14
	<b>Controls</b>				<b>Owner</b>					
	Governance Structure for SP in Somerset				Colin McDonald					
	<b>Actions</b>				<b>Action Owner</b>	<b>Due Date</b>	<b>Revised Date</b>	<b>Status</b>		
	_A01 Review outcomes and outputs				Colin McDonald	08/09/2009		Action in Progress		
0369	Somerset Choice Based Lettings & Strategic IT - Purpose and outcomes not achieved  <i>Purpose: Creation of a single CBL scheme for the five districts and procurement of related IT for CBL and other strategic housing functions (SSDC, WSDC, TDBC, Mendip DC, Sedgmoor DC and a wide range of RSL partners)</i>	<b>Colin McDonald</b>	Major	Possible	<b>High</b>	19	Moderate	Unlikely	<b>Medium</b>	13
	<b>Controls</b>				<b>Owner</b>					
	Partnership structures in place to control CBL-IT				Colin McDonald					
	<b>Actions</b>				<b>Action Owner</b>	<b>Due Date</b>	<b>Revised Date</b>	<b>Status</b>		
	_A01 Review outcomes and outputs				Colin McDonald	10/12/2009		Action in Progress		
0370	Economic Leaders Partnership - Purpose and outcomes not achieved  <i>Purpose: To promote economic development in Somerset (SCC, SSDC and other Somerset Districts, Somerset Chamber of Commerce, Learning and Skills Council, Job Centre, SWRDA, GOSW, Business Link and Somerset Learning Partnership)</i>	<b>David Julian</b>	Major	Possible	<b>High</b>	19	Major	Probable	<b>High</b>	20
	<b>Controls</b>				<b>Owner</b>					
	_C01 Partnership working through structure of Economic Leaders Group with reps from each Somerset Council backed by Officers				Head of Economic Development Planning and Transport					
	_C02 Local Area Agreement				Head of Economic Development Planning and Transport					
	<b>Actions</b>				<b>Action Owner</b>	<b>Due Date</b>	<b>Revised Date</b>	<b>Status</b>		
	_A01 Review outcomes and outputs							Draft Issued		
	-A01 Work with colleagues in all other Somerset Authorities to establish mutual vision for an effective service across the County				Economic Development Planning and Transport	20/04/2009		Action in Progress		

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0372	South Somerset VCA - Purpose and outcomes not achieved <i>Purpose: To support and develop the voluntary sector in South Somerset (SSDC, SCC, SSVCA, SPCT)</i>	Helen Rutter	Moderate	Unlikely	Medium	13	Moderate	Unlikely	Medium	0
	<b>Controls</b> C01 Regular monitoring via 3 year SLA with responsive mechanism for prompt action to resolve problems				<b>Owner</b> Helen Rutter					
	<b>Actions</b> _A01 Review outcome and outputs as set out in the Service Level Agreement				<b>Action Owner</b>	<b>Due Date</b>	<b>Revised Date</b>	<b>Status</b> Draft Issued		
0373	River Parrett Trail Management Group - Purpose and outcomes not achieved <i>Purpose: To manage the River Parrett Trail and promote as a sustainable tourist attraction. (Local authorities)</i> <i>Agreed by Partners in 2008 to move to ROW maintenance only until further funding is secured</i>	Katy Menday	Minor	Possible	Low	9	Minor	Possible	Low	0
	<b>Actions</b> _A01 Review outcomes and outputs				<b>Action Owner</b>	<b>Due Date</b>	<b>Revised Date</b>	<b>Status</b> Draft Issued		
0374	Agency Agreement for Public Rights of Way - Purpose and outcomes not achieved <i>Purpose: To provide funding and framework for rights of way maintenance (Local authorities)</i>	Katy Menday	Minor	Unlikely	Low	8	Minor	Unlikely	Low	8
	<b>Controls</b> _C01 Regular records maintained with continuous feedback to SCC _C02 Outputs analyzed to provide County wide BVPI				<b>Owner</b> Head of Service					
	<b>Actions</b> _A01 Review outcomes and outputs				<b>Action Owner</b>	<b>Due Date</b>	<b>Revised Date</b>	<b>Status</b> Draft Issued		
0375	Cranborne Chase and West Wiltshire Downs AONB - Purpose and outcomes not achieved <i>Purpose: To implement the AONB Management Plan as required by the CROW Act (Other Local authorities within designation)</i>	Katy Menday	Minor	Unlikely	Low	8	Insignificant	Remote	Very Low	1
	<b>Actions</b> _A01 Review outcomes and outputs				<b>Action Owner</b> Head Of Service	<b>Due Date</b>	<b>Revised Date</b> 01/08/2011	<b>Status</b> Draft Issued		
0376	Kingston Maurward College - Purpose and outcomes not achieved <i>Purpose: To deliver annual apprenticeship scheme with placements at the Country Parks (SSDC, KMC and Dorset Countryside)</i>	Katy Menday	Insignificant	Unlikely	Very Low	3	Insignificant	Remote	Very Low	1
	<b>Controls</b> _C01 Regular Liason and reporting with college				<b>Owner</b> Countryside Access manager					

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				Likelihood	Risk	Score	Impact	Likelihood	Risk	Score
	<b>Actions</b> _A01 Review outcomes and outputs						<b>Action Owner</b>	<b>Due Date</b>	<b>Revised Date</b>	<b>Status</b> Draft Issued
0377	Somerset Warm and Well Partnership - Purpose and outcomes not achieved  <i>Purpose: Policy and delivery of affordable warmth grants (Somerset Local Authorities, Centre for Sustainable Energy, Millfold Ltd, Utility Companies)</i>	<b>Laurence Willis</b>	Moderate	Unlikely	Medium	13	Moderate	Unlikely	Medium	13
	<b>Controls</b> _C01 Independent quality checks on the insulation works are carried out and reported twice a year _C02 CSE provide regular updates on use of the budget						<b>Owner</b> Martin Chapman Martin Chapman			
	<b>Actions</b> _A01 Review outcomes and outputs						<b>Action Owner</b> Martin Chapman	<b>Due Date</b> 31/10/2009	<b>Revised Date</b>	<b>Status</b> Action Agreed
0378	Blackdown Hills Area of Outstanding Natural Beauty - Purpose and outcomes not achieved  <i>Purpose: Delivering the Blackdown Hills AONB Management Plan and work with local land owners, communities and its partners to conserve and enhance the natural beauty of the area. (The AONB Partnership is accountable to the Blackdown Hills AONB Partnership Management Group and consists of representatives from the 7 public sector funding partners and 9 elected representatives from the Black down Hills AONB Partnership Forum).</i>	<b>Andrew Gillespie</b>	Minor	Unlikely	Low	8	Minor	Unlikely	Low	0
	<b>Controls</b> _C01 Annual meeting with Partnership Board						<b>Owner</b> Andrew Gillespie			
	<b>Actions</b> _A01 Review Outcomes and Outputs						<b>Action Owner</b> Andrew Gillespie	<b>Due Date</b> 01/11/2009	<b>Revised Date</b>	<b>Status</b> Action Agreed
0379	Community Council for Somerset - Purpose and outcomes not achieved  <i>Purpose: To provide face to face support to community organizations (SSDC, TDBC, WSDC, SDC, MDC, GOSW)</i>	<b>Martin Woods</b>	Minor	Possible	Low	9	Moderate	Possible	Medium	14
	<b>Actions</b> _A01 Review outcomes, targets and outputs as set out in the Service Level Agreement						<b>Action Owner</b>	<b>Due Date</b> 01/02/2009	<b>Revised Date</b>	<b>Status</b> Draft Issued
0380	Community Speed Watch - Purpose and outcomes not achieved  <i>Purpose: Speed reduction in Communities (Police, SSDC, Community)</i>	<b>Martin Woods</b>	Minor	Possible	Low	9	Minor	Unlikely	Low	8
	<b>Actions</b> _A01 Review outcomes and outputs						<b>Action Owner</b>	<b>Due Date</b>	<b>Revised Date</b>	<b>Status</b> Draft Issued
0381	Transport for Somerset - Purpose and outcomes not achieved	<b>Andy Foyne</b>	Moderate	Highly Probable	High	16	Moderate	Highly Probable	High	0

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				Likelihood	Risk	Score	Impact	Likelihood	Risk	
<p><i>Purpose: To secure improvements in providing access to services and facilities in a manner which achieves social inclusion and offers residents and visitors sustainable access to the countryside by providing increased or improved transport opportunities. (SCC, SSDC and other Somerset Districts, Regional Assembly, SWRDA and GOSW and parish representatives).</i></p>										
<b>Actions</b>					<b>Action Owner</b>		<b>Due Date</b>	<b>Revised Date</b>	<b>Status</b>	
_A01 Review desired outcomes					Head of Economic Development Planning and Transport		31/03/2009		Draft Issued	
_A02 Promoting the demise of Transport for Somerset									Action in Progress	
0382	Mendip and South Somerset Domestic Abuse Forum - Purpose and outcomes not achieved	<b>Martin Woods</b>	Insignificant	Remote	<b>Very Low</b>	1	Insignificant	Remote	<b>Very Low</b>	1
<p><i>Purpose: Communication, Support, Awareness (Statutory Agencies, Voluntary, Community)</i></p>										
<b>Actions</b>					<b>Action Owner</b>		<b>Due Date</b>	<b>Revised Date</b>	<b>Status</b>	
_A01 Review outcomes									Draft Issued	
0383	Somerset County Domestic Abuse Forum - Purpose and outcomes not achieved	<b>Martin Woods</b>	Insignificant	Remote	<b>Very Low</b>	1	Insignificant	Remote	<b>Very Low</b>	1
<p><i>Purpose: Communication, Strategy, Tactics, Delivery (Statutory Agencies, Voluntary, Elected Members)</i></p>										
<b>Actions</b>					<b>Action Owner</b>		<b>Due Date</b>	<b>Revised Date</b>	<b>Status</b>	
_A01 Review outcomes									Draft Issued	
0384	Partnership against Hate Crime - Purpose and outcomes not achieved	<b>Martin Woods</b>	Minor	Remote	<b>Very Low</b>	2	Insignificant	Remote	<b>Very Low</b>	1
<p><i>Purpose: Strategy, Tactics, Communication (Statutory Agencies, Public Authorities, Voluntary, Interest Groups)</i></p>										
<b>Actions</b>					<b>Action Owner</b>		<b>Due Date</b>	<b>Revised Date</b>	<b>Status</b>	
_A01 Review desired and expected outcomes									Draft Issued	
0385	Wessex Rail Partnership - Purpose and outcomes not achieved	<b>Helen Rutter</b>	Minor	Possible	<b>Low</b>	9	Minor	Possible	<b>Low</b>	0
<p><i>Purpose: Research and respond to rail users needs. Initiate projects which improve accessibility to the rail services as a conduit to countryside, market towns and cities. Enhance the line as an asset to local communities and visitors. (Steering Committee, BCC, BANES, WCC, DCC, SCC, MDC, SSDC, WDC, W&amp;PBC, FGW plus Community Rail Working Party with representatives from communities adjacent to the line).</i></p>										
<b>Controls</b>					<b>Owner</b>					
_CO1 Agree updates to Partnership Agreement to share financial risks					Helen Rutter					
<b>Actions</b>					<b>Action Owner</b>		<b>Due Date</b>	<b>Revised Date</b>	<b>Status</b>	
_A01 Review desired outcomes									Draft Issued	
0430	Performance management of CAB funding	<b>Helen Rutter</b>	Major	Possible	<b>High</b>	19	Moderate	Possible	<b>Medium</b>	14

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				Likelihood	Risk	Score		Likelihood	Risk	
	<p><i>High quality data prepared annually presented to Corporate Grants Committee, to give Councillors confidence that high quality service is being delivered. 3 year funding agreement. Any significant changes in funding levels require 1 year's notice, to assist the organization in making alternative arrangements Prepare Impact Assessment to show implications if funding is withdrawn.</i></p>									
0431	Secure annual funding for SSVCA	<b>Helen Rutter</b>	Major	Possible	<b>High</b>	19	Moderate	Possible	<b>Medium</b>	14
	<p><i>High quality data prepared annually presented to Corporate Grants Committee, to give Councillors confidence that high quality service is being delivered. 3 year funding agreement. Any significant changes in funding levels require 1 year's notice, to assist the organization in making alternative arrangements Prepare Impact Assessment to show implications if funding is withdrawn.</i></p>									



